

6.1040: Software Design

# Concept Basics

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with material by Daniel Jackson

Fall '24

# Levels of design



## physical

color, size, layout,  
type, touch, sound



## linguistic

icons, labels, tooltips,  
site structure



## conceptual

semantics, actions,  
data model, purpose

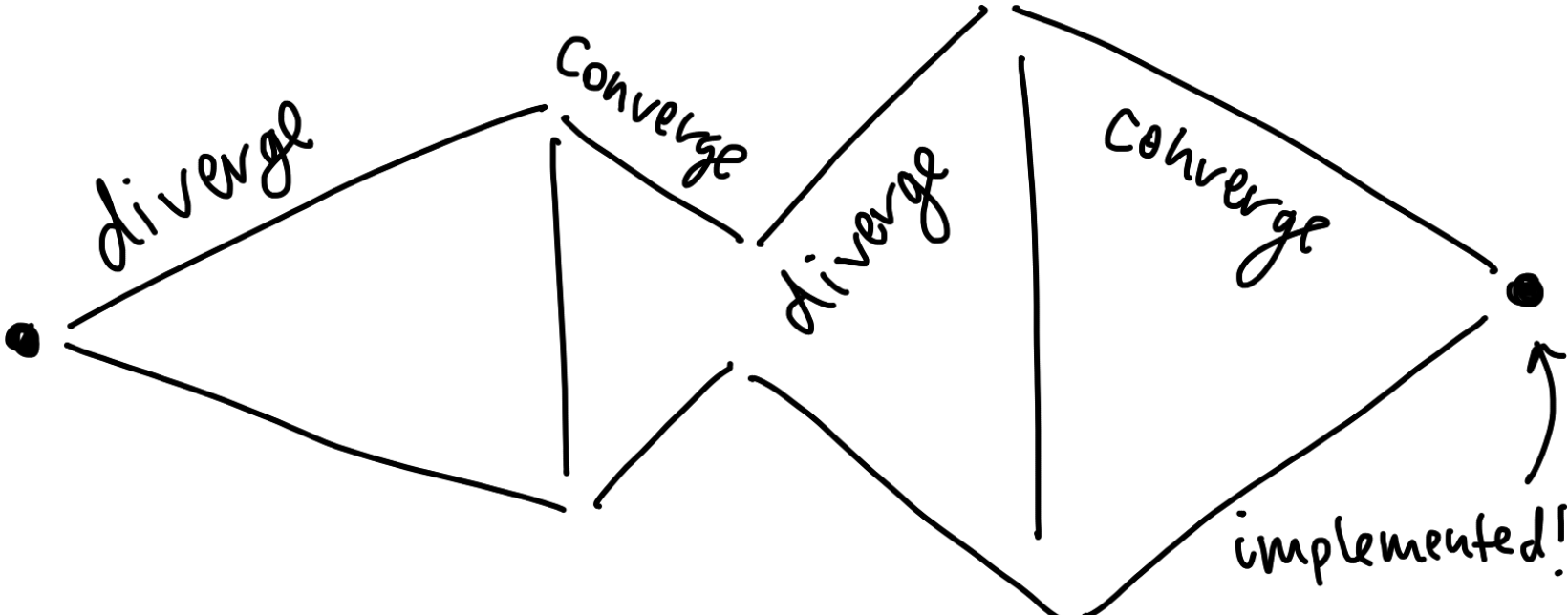
## software concepts

🔦 Semantic

🎯 Purposive

🧩 Modular

# Diverging and converging



yellkey: url to common word shortener.

yellkey

enter url and length of time for key to exist.

http://web.mit.edu/

1 hour

generate yellkey

**IMPORTANT:**

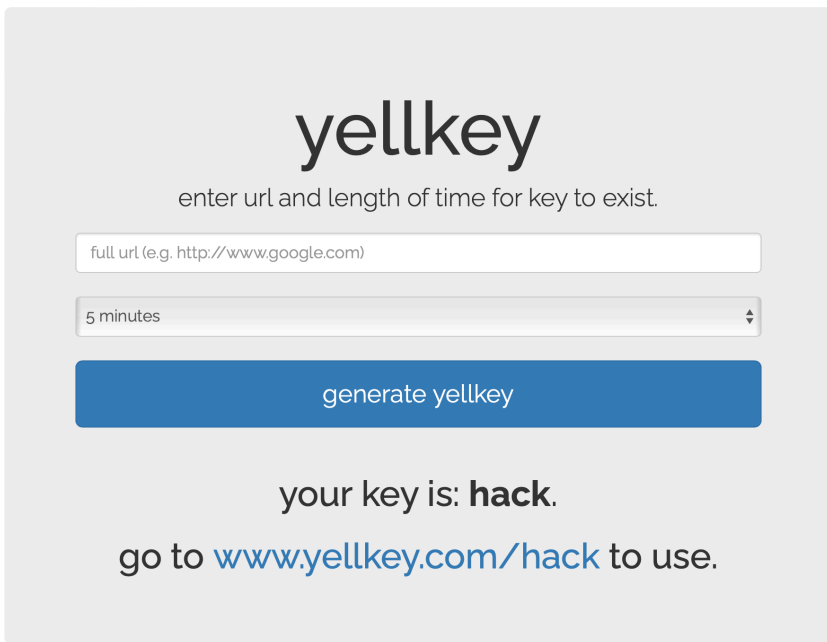
yellkeys are **NOT** private. anyone can access your URL if they want to. please be careful what links you choose to share through yellkey.

try out our yellkey browser extensions for [Google Chrome](#), [Mozilla Firefox](#), and [Apple Safari](#) from [sarah lim](#) and [andrew finke](#)

made with ♥ by [delta lab](#)

a very big thank you to [chad etzel](#), the creator of shoutkey and inspiration for yellkey.

yellkey: url to common word shortener.



The screenshot shows the yellkey website interface. At the top, the word "yellkey" is displayed in a large, bold, black font. Below it, the text "enter url and length of time for key to exist." is centered. There are two input fields: a text box containing "full url (e.g. http://www.google.com)" and a dropdown menu showing "5 minutes". Below these fields is a blue button with the text "generate yellkey". Underneath the button, the text "your key is: **hack.**" is displayed, followed by "go to [www.yellkey.com/hack](http://www.yellkey.com/hack) to use."

**IMPORTANT:**

yellkeys are **NOT** private. anyone can access your URL if they want to. please be careful what links you choose to share through yellkey.

try out our yellkey browser extensions for

**concept** Yellkey

**purpose** what is it for?

**principle**

a small story that explains how it works

**concept** Yellkey

**purpose** shorten URLs to common words

**principle**

after registering a URL and getting a shorthand for it,  
looking up that shorthand will yield the URL, until expiry

**actions**

what behaviors do users experience?

**concept** Yellkey

**purpose** shorten URLs to common words

**principle**

after registering a URL and getting a shorthand for it,  
looking up that shorthand will yield the URL, until expiry

**actions**

register (url: URL, time: int, **out** short: String)

lookup (short: String, **out** url: URL)

**system** expire (**out** short: String)



**concept** Yellkey

**purpose** shorten URLs to common words

**principle**

after registering a URL **u** for time **t** and getting a shorthand **s**,  
looking up **s** will yield **u** until the shorthand expires time **t** later

**actions**

register (url: URL, time: int, **out** short: String)

lookup (short: String, **out** url: URL)

**system** expire (**out** short: String)

**concept** Yellkey

**purpose** shorten URLs to common words

**principle**

after register (u, t, s) then lookup (s, u) until expire (s)

**actions**

register (url: URL, time: int, **out** short: String)

lookup (short: String, **out** url: URL)

**system** expire (**out** short: String)

treating inputs &  
outputs uniformly

**concept** Yellkey

**purpose** shorten URLs to common words

**principle**

after register (u, t, s) then lookup (s, u) until expire (s)

**state**

what must be stored to support the actions?

**actions**

register (url: URL, time: int, **out** short: String)

lookup (short: String, **out** url: URL)

**system** expire (**out** short: String)

**concept** Yellkey

**purpose** shorten URLs to common words

**principle**

after register (u, t, s) then lookup (s, u) until expire (s)

**state**

**const** shorthands: **set** String

used: **set** String

shortFor: used → **one** URL

expiry: used → **one** Date

every String in **used** is  
associated with exactly  
one URL & Date

**actions**

register (url: URL, time: int, **out** short: String)

lookup (short: String, **out** url: URL)

**system** expire (**out** short: String)

**concept** Yellkey

**purpose**

shorten URLs to common words

**principle**

after registering a URL **u** for time **t**  
and getting a shorthand **s**,  
looking up **s** will yield **u** until the  
shorthand expires time **t** later

**state**

**const shorthands: set** String

**used: set** String

**shortFor: used** → **one** URL invariants

**expiry: used** → **one** Date

... what must be stored  
to support the actions?

**actions**

what behaviors do users experience? ...

register (**url**: URL, **time**: int, **out short**: String)

pick **short** from the set **shorthands - used**

update **shortFor** so that **short** → **url**

update **expiry** so that **short** → **time** sec. after now

add **short** to **used**

nondeterminism

lookup (**short**: String, **out url**: URL)

require **short** in **used** preconditions

**url** is the URL associated with **short** by **shortFor**

**system** expire (**out short**: String)

require **expiry** of **short** is before now

remove **short** from **used**

update **shortFor** and **expiry** so that **short** → *none*

postconditions

## concept Yellkey

### purpose

shorten URLs to common words

### principle

after registering a URL  $u$  for time  $t$   
and getting a shorthand  $s$ ,  
looking up  $s$  will yield  $u$  until the  
shorthand expires time  $t$  later

### state

**const** shorthands: **set** String

used: **set** String

shortFor: used  $\rightarrow$  **one** URL

expiry: used  $\rightarrow$  **one** Date

## actions

register (url: URL, time: int, **out** short: String)

short in shorthands - used

short . shortFor := url

short . expiry := time sec. after now

used += short

lookup (short: String, **out** url: URL)

short in used

url := short . shortFor

**system** expire (**out** short: String)

short . expiry < now

used -= short

short . shortFor := none

short . expiry := none

# Relational state

`shortFor`: String → **one** URL

`shortFor` is a binary relation from String to one URL, *e.g.*

```
{ ("hack", http://web.mit.edu/),  
  ("punt", https://61040-fa24.github.io/),  
  ("never", https://www.youtube.com/watch?v=MA_v0YMPN9c) }
```

# Relational join

lookup (**short**: String, **out url**: URL)

`url := short . shortFor`

```
      ("hack", mit.edu),  
{ ("hack") } . { ("punt", 61040), } = { (mit.edu) }  
      ("never", youtube)
```

# Relational state

`shortFor`: String → **one** URL

`shortFor` is a binary relation from String to one URL, *e.g.*

```
{ ("hack", http://web.mit.edu/),  
  ("punt", https://61040-fa24.github.io/),  
  ("never", https://www.youtube.com/watch?v=MA_v0YMPN9c) }
```

## Relational join

lookup (**short**: String, **out url**: URL)

`url := short.shortFor`

```
      ("hack", mit.edu),  
{ ("hack") } . { ("punt", 61040), } = { (mit.edu) }  
      ("never", youtube)
```

## Relational update

register (**url**: URL, time: int, **out short**: String)

`short.shortFor := url`

`shortFor' = shortFor - (short, *) + (short, url)`

*new shortFor* →

← *old shortFor*

← *remove old pairs*



# Relational state

**shortFor**: String → **one** URL

shortFor is a binary relation from String to one URL, *e.g.*

```
{ ("hack", http://web.mit.edu/),  
  ("punt", https://61040-fa24.github.io/),  
  ("never", https://www.youtube.com/watch?v=MA_v0YMPN9c) }
```

## Relational join

lookup (**short**: String, **out url**: URL)

**url** := **short** . **shortFor**

```
      ("hack", mit.edu),  
{ ("hack") } . { ("punt", 61040), } = { (mit.edu) }  
      ("never", youtube)
```

## & other operations, *e.g.*

allShorthands (**url**: URL, **out shorts**: set String)

**shorts** := **url** . **~shortFor** ← *inverse of shortFor*

```
      (mit.edu, "hack"),  
{ (mit.edu) } . { (61040, "punt"), } = { ("hack") }  
      (youtube, "never")
```

## concept Yellkey

### purpose

shorten URLs to common words

### principle

after registering a URL  $u$  for time  $t$  and getting a shorthand  $s$ , looking up  $s$  will yield  $u$  until the shorthand expires time  $t$  later

### state

**const** shorthands: **set** String  
used: **set** String  
shortFor: used  $\rightarrow$  **one** URL  
expiry: used  $\rightarrow$  **one** Date

## actions

register (url: URL, time: int, **out** short: String)

short in shorthands - used  
short . shortFor := url  
short . expiry := time sec. after now  
used += short

lookup (short: String, **out** url: URL)

short in used  
url := short . shortFor

**system** expire (**out** short: String)

short . expiry < now  
used -= short  
short . shortFor := none  
short . expiry := none

Alternative design:  
What if we modified register (...) so that it **replaced** any existing shorthand for the URL, instead of adding?

# Something unsatisfying about *concept* Yellkey

Shortening and Expiring are both patterns we have seen elsewhere

They can be expressed generically

And we can describe Yellkey as a combination of Shortening + Expiring

## 🔗 Semantic

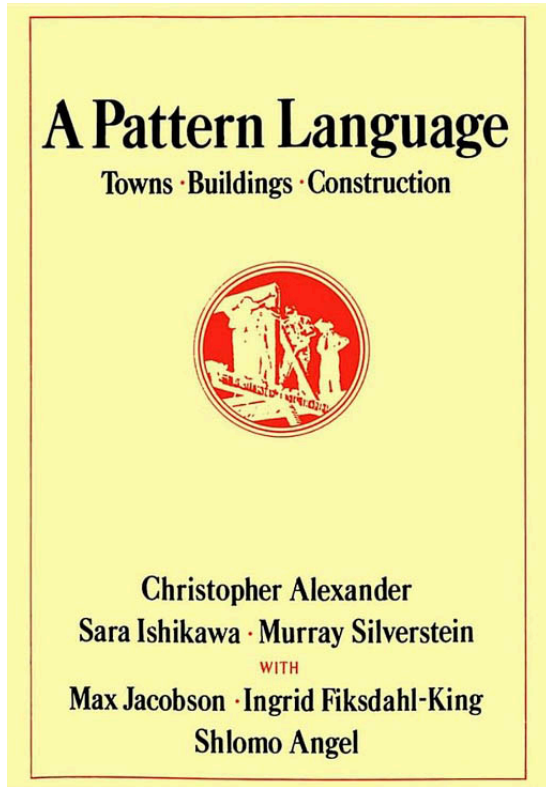
- ↳ about underlying behavior users experience
- Not internals, user-facing
- Not UI, but underlying function
- Not just structure, behavior

## 🎯 Purposive

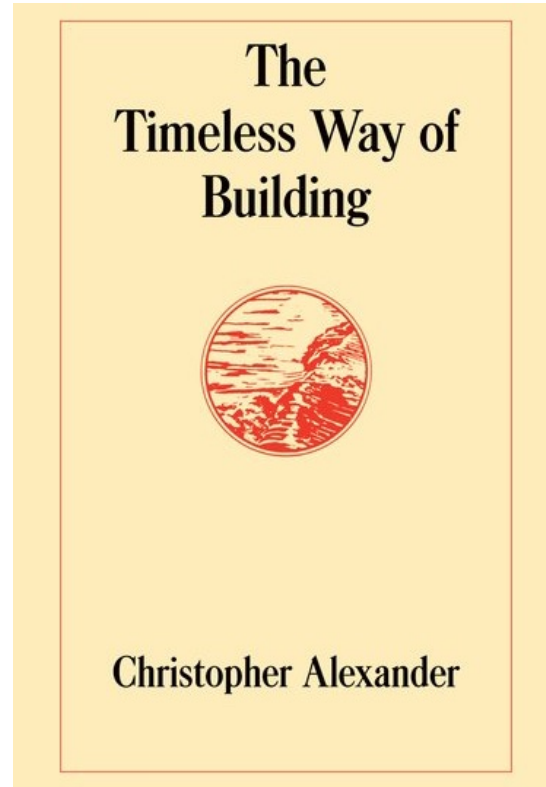
- ↳ fulfills an entire user need
- Included for a reason
- End-to-end, not just a fragment

## 🔗 Modular

- ↳ mutually independent
- Generic (using polymorphic parameters)
- Reusable within and across apps



(1977)



(1979)

## concept Yellkey

### purpose

shorten URLs to common words

### principle

after registering a URL  $u$  for time  $t$  and getting a shorthand  $s$ , looking up  $s$  will yield  $u$  until the shorthand expires time  $t$  later

### state

**const** shorthands: **set** String

used: **set** String

shortFor: used  $\rightarrow$  **one** URL

expiry: used  $\rightarrow$  **one** Date

## actions

register (url: URL, time: int, **out** short: String)

short in shorthands - used

short . shortFor := url

short . expiry := time sec. after now

used += short

lookup (short: String, **out** url: URL)

short in used

url := short . shortFor

**system** expire (**out** short: String)

short . expiry < now

used -= short

short . shortFor := none

short . expiry := none

**concept** Shortening *first draft*

## **purpose**

provide access via short strings

## **principle**

after registering a URL  $u$   
and getting a shorthand  $s$ ,  
looking up  $s$  will yield  $u$  until  
???

## **state**

**const** shorthands: **set** String  
used: **set** String  
shortFor: used  $\rightarrow$  **one** URL

## **actions**

register (url: URL, **out** short: String)  
short in shorthands - used  
short.shortFor := url  
used += short

lookup (short: String, **out** url: URL)  
short in used  
url := short.shortFor

???

used -= short  
short.shortFor := none

**concept** Shortening [Target]

**purpose**

provide access via short strings

**principle**

after registering a target *t*  
and getting a shorthand *s*,  
looking up *s* will yield *t*,  
until *s* is unregistered

**state**

**const** shorthands: **set** String  
used: **set** String  
shortFor: used → **one** Target

**actions**

register (target: Target, **out** short: String)

short in shorthands - used

short.shortFor := target

used += short

lookup (short: String, **out** target: Target)

short in used

target := short.shortFor

unregister (short: String)

short in used

used -= short

short.shortFor := none

**concept** Shortening [Target]

**purpose** provide access via short strings

**principle** after registering a target  $t$  and getting a shorthand  $s$ , looking up  $s$  will yield  $t$

**state**

**const** shorthands: **set** String

used: **set** String

shortFor: used  $\rightarrow$  **one** Target

**actions**

register ( $t$ : Target, **out**  $s$ : String)

$s$  in shorthands-used;  $s$ .shortFor :=  $t$ ; used +=  $s$

lookup ( $s$ : String, **out**  $t$ : Target)

$s$  in used;  $t$  :=  $s$ .shortFor

unregister ( $s$ : String)

$s$  in used; used -=  $s$ ;  $s$ .shortFor := none

**concept** Expiring [Resource]

**purpose** handle expiration of short-lived resources

**principle** if you allocate a resource  $r$  for  $t$  seconds, after  $t$  seconds the resource expires

**state**

active: **set** Resource

expiry: active  $\rightarrow$  **one** Date

**actions**

allocate ( $r$ src: Resource, time: int)

$r$ src not in active

active +=  $r$ src

$r$ src.expiry := time sec. after now

**system** expire (**out**  $r$ src: Resource)

$r$ src in active;  $r$ src.expiry is before now

active -=  $r$ src;  $r$ src.expiry := none



**concept** Shortening [Target]

**purpose** provide access via short strings

**principle** after registering a target  $t$  and getting a shorthand  $s$ , looking up  $s$  will yield  $t$

**state**

**const** shorthands: **set** String

used: **set** String

shortFor: used  $\rightarrow$  **one** Target

**actions**

register (t: Target, **out** s: String)

s in shorthands-used; s.shortFor := t; used += s

lookup (s: String, **out** t: Target)

s in used; t := s.shortFor

unregister (s: String)

s in used; used -= s; s.shortFor := none



**concept** Expiring [Resource]

**purpose** handle expiration of short-lived resources

**principle** if you allocate a resource  $r$  for  $t$  seconds, after  $t$  seconds the resource expires

**state**

active: **set** Resource

expiry: active  $\rightarrow$  **one** Date

**actions**

allocate (rsrc: Resource, time: int)

rsrc not in active

active += rsrc

rsrc.expiry := time sec. after now

**system** expire (**out** rsrc: Resource)

rsrc in active; rsrc.expiry is before now

active -= rsrc; rsrc.expiry := none

# Synchronizing concepts to build an app

app Yellkey

**include** Shortening [URL]

**include** Expiring [String]

**sync** register (url: URL, time: int, **out** short: String)

Shortening.register (url, short)

Expiring.allocate (short, time)

**system sync** expire (**out** short: String)

Expiring.expire (short)

Shortening.unregister (short)

**sync** lookup (short: String, **out** url: URL)

Shortening.lookup (short, url)

**concept** Shortening [Target]

**purpose** provide access via short strings

**principle** after registering a target t and getting a shorthand s, looking up s will yield t

**state**

**const** shorthands: **set** String

used: **set** String

shortFor: used → **one** Target

**actions**

register (t: Target, **out** s: String)

s in shorthands - used; s . shortFor := t; used += s

lookup (s: String, **out** t: Target)

s in used; t := s . shortFor

unregister (s: String)

s in used; used -= s; s . sh

**concept** Expiring [Resource]

**purpose** handle expiration of short-lived resources

**principle** if you allocate a resource r for t seconds, after t seconds the resource expires

**state**

active: **set** Resource

expiry: active → **one** Date

**actions**

allocate (rsrc: Resource, time: int)

rsrc not in active

active += rsrc

rsrc . expiry := time sec. after now

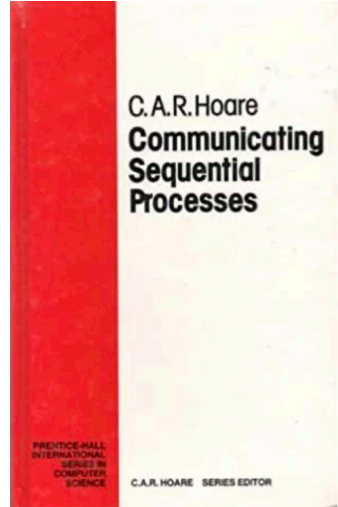
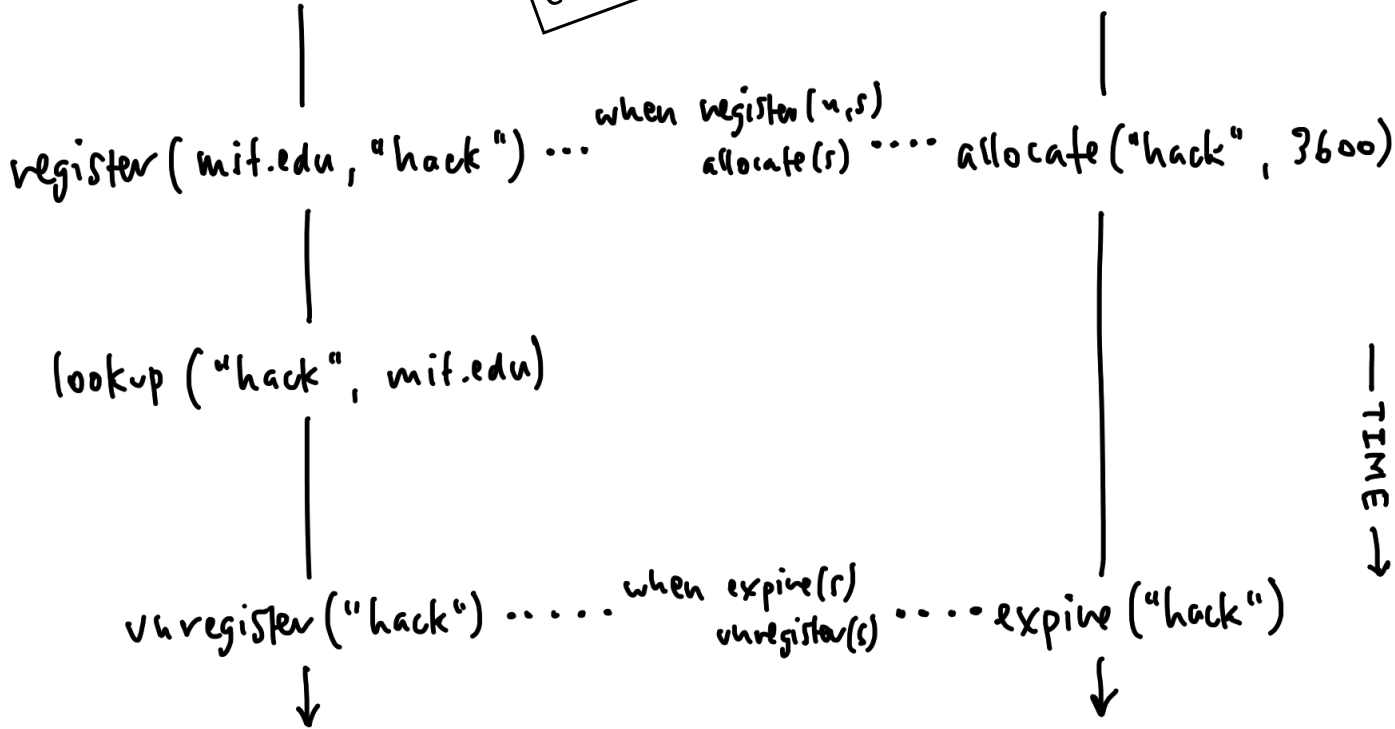
**system** expire (**out** rsrc: Resource)

# Timelines of actions

concept behavior is always preserved

concept Shortening

concept Expiring



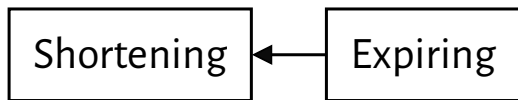
Formulation of synchronization due to Tony Hoare 34

# Dependencies and subsets

## Yellkey

We have designed our concepts so they have no intrinsic dependencies

What are the extrinsic dependencies?



*"If we include Expiring, we must also include Shortening"*

# Designing Software for Ease of Extension and Contraction

DAVID L. PARNAS

**Abstract**—Designing software to be extensible and easily modified is discussed as a special case of design for change. A number of extension and contraction problems manifest themselves in software are explained. Four steps in the design of software that are more flexible are then discussed. The most critical step is the design of a software structure called the “uses” relation. Some criteria for design decisions are given and illustrated using a small example. It is shown that the identification of *minimal* subsets and *minimal* extensions lead to software that can be tailored to the needs of a broad range of users.

**Index Terms**—Contractibility, extensibility, modularity, software engineering, subsets, supersets.

Manuscript received June 7, 1978; revised October 26, 1978. The earliest work in this paper was supported by NV Phillips Company, Apeldoorn, The Netherlands. This work was also supported by the National Science Foundation and the German Federal Ministry of Research and Technology (BMFT). This paper was presented at the Third International Conference on Software Engineering, Atlanta, GA, May 1978.

The author is with the Department of Computer Science, University of North Carolina, Chapel Hill, NC 27514. He is also with the Information Systems Staff, Communications Sciences Division, Naval Research Laboratory, Washington, DC.

0098-5589/79

1) “We were behind schedule and wanted to deliver an early release with only a <proper subset of intended capabilities>, but found that that subset would not work until everything worked.”  
2) “We wanted to add <simple capability>, but to do so would have meant rewriting all or most of the current code.”

this simplification we would have had to rewrite major sections of the code.”

... I have identified some simple concepts that can help programmers to design software so that subsets and extensions are more easily obtained. These concepts are simple if you think about software in the way suggested by this paper. Programmers do not commonly do so.

# Designing Software for Ease of Extension and Contraction

DAVID L. PARNAS

**Abstract**—Designing software to be extensible and easily contracted is discussed as a special case of design for change. A number of ways that extension and contraction problems manifest themselves in current software are explained. Four steps in the design of software that is more flexible are then discussed. The most critical step is the design of a software structure called the “uses” relation. Some criteria for design decisions are given and illustrated using a small example. It is shown that the identification of *minimal* subsets and *minimal* extensions can lead to software that can be tailored to the needs of a broad variety of users.

**Index Terms**—Contractibility, extensibility, modularity, software engineering, subsets, supersets.

## I. INTRODUCTION

**T**HIS paper is being written because the following complaints about software systems are so common.

1) “We were behind schedule and wanted to deliver an early release with only a <proper subset of intended capabilities>, but found that that subset would not work until everything worked.”

2) “We wanted to add <simple capability>, but to do so would have meant rewriting all or most of the current code.”

3) “We wanted to simplify and speed up the system by removing the <unneeded capability>, but to take advantage of this simplification we would have had to rewrite major sections of the code.”

*The criteria to be used in allowing one [module] to use another:*

We propose to allow A “uses” B when all of the following conditions hold:

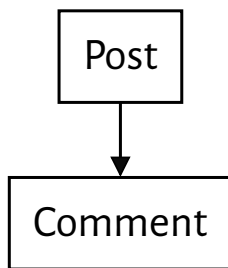
- a) A is essentially simpler because it uses B;
- b) B is not substantially more complex because it is not allowed to use A;
- c) there is a useful subset containing B and not A;
- d) there is no conceivably useful subset containing A but not B.

# Dependencies and subsets

## Hacker News

📄 posting.ts

```
class Post {  
  readonly comments: Comment[];  
}
```



allow Post uses Comment when...

- (c) there is a useful subset containing Comment and not Post;
- (d) there is no useful subset containing Post but not Comment

*The criteria to be used in allowing one [module] to use another:*

We propose to allow A “uses” B when all of the following conditions hold:

- a) A is essentially simpler because it uses B;
- b) B is not substantially more complex because it is not allowed to use A;
- c) there is a useful subset containing B and not A;
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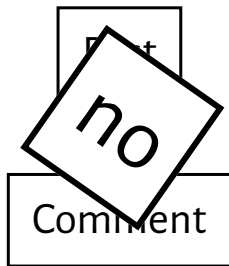
# Dependencies and subsets

## Hacker News

📄 posting.ts

```
class Post {  
  readonly comments: Comment[];  
}
```

no



exactly backwards!

allow Post uses Comment when...

- (c) there is a useful subset containing Comment and not Post;
- (d) there is no conceivably useful subset containing Post but not Comment

no

*The criteria to be used in allowing one [module] to use another:*

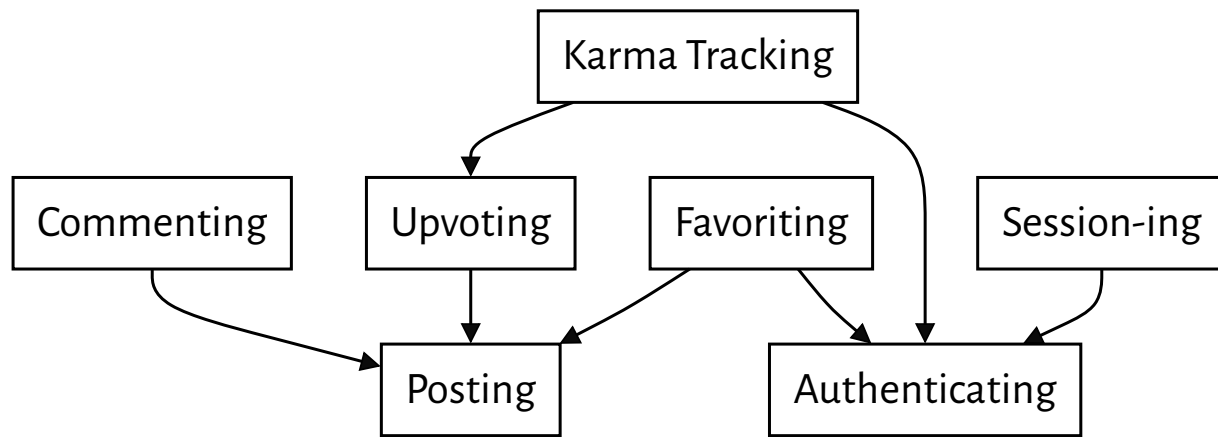
We propose to allow A “uses” B when all of the following conditions hold:

- a) A is essentially simpler because it uses B;
- b) B is not substantially more complex because it is not allowed to use A;
- c) there is a useful subset containing B and not A;
- d) there is no conceivably useful subset containing A but not B.



# Dependencies and subsets

## Hacker News



By eliminating *intrinsic* dependencies when there is no *extrinsic* dependency, we are prepared to build subsets and extensions

*e.g.*

- { Posting }
- { Posting, Commenting }
- { Posting, Upvoting, Karma Tracking, Auth-ing }
- { ~~Karma Tracking~~ }

$A \rightarrow B$  means: *an app that includes A must also include B*

(do Upvoting, Commenting, and Posting also depend on Authenticating? maybe!)

# Another example

## 👤 Expiring Authentication Sessions

Expiring shows up in many contexts

Authenticating *without* sessions?

Session-ing *without* authentication?

# Another example

## 👤 Expiring Authentication Sessions

**concept** Authenticating

**purpose** authenticate users so that app users correspond to people

**principle** after a user registers with a username and password pair, they can authenticate as that user by providing the pair:

register (n, p, u); authenticate (n, p, u') {u' = u}

### **state**

registered: **set** User

username, password: registered → **one** String

### **actions**

register (name, pass: String, **out** user: User)

authenticate (name, pass: String, **out** user: User)

# Another example

## 👤 Expiring Authentication Sessions

**concept** Authenticating

**purpose** authenticate users so that app users correspond to people

**actions**

register (name, pass: String,  
                                  **out** user: User)  
authenticate (name, pass: String,  
                                  **out** user: User)

**concept** Session-ing [User]

**purpose** enable authenticated actions for an extended period of time

**principle** after a session starts (and before it ends), the getUser action returns the user identified at the start:

start (u, s); getUser (s, u') {u' = u}

**state**

active: **set** Session

user: active → **one** User

**actions**

start (user: User, **out** sess: Session)

getUser (sess: Session, **out** user: User)

end (sess: Session)

# Another example

## 👤 Expiring Authentication Sessions

**concept** Authenticating

**purpose** authenticate users so that app users correspond to people

**actions**

register (name, pass: String,  
                                  **out** user: User)

authenticate (name, pass: String,  
                                  **out** user: User)

**concept** Session-ing [User]

**purpose** enable authenticated actions for an extended period of time

**actions**

start (user: User, **out** s: Session)  
getUser (s: Session, **out** user: User)  
end (s: Session)

**concept** Expiring [Resource]

**purpose** handle expiration of short-lived resources

**actions**

allocate (r: Resource, time: int)  
deallocate (r: Resource)  
**system** expire (**out** r: Resource)

# Another example

## 👤 Expiring Authentication Sessions

**concept** Authenticating

**purpose** authenticate users so that app users correspond to people

**actions**

register (name, pass: String, **out** user: User)

**authenticate** (name, pass: String, **out** user: User)

**concept** Session-ing [User]

**purpose** enable authenticated actions for an extended period of time

**actions**

**start** (user: User, **out** s: Session)  
getUser (s: Session, **out** user: User)  
end (s: Session)

**concept** Expiring [Resource]

**purpose** handle expiration of short-lived resources

**actions**

**allocate** (r: Resource, time: int)  
deallocate (r: Resource)  
**system** expire (**out** r: Resource)

**app ...**

... including other concepts ...

**include** Authenticating, Sessioning [Authenticating.User], Expiring [Sessioning.Session]

**sync** register (username, password: String, **out** user: User)

Authenticating.register (username, password, user)

**sync** login (username, password: String, **out** user: User, **out** session: Session)

Authenticating.authenticate (username, password, user)

Sessioning.start (user, session)

Expiring.allocate (session, 300)

**sync** authenticate (session: Session, **out** user: User)

Sessioning.getUser (session, user)

**sync** logout (session: Session)

Sessioning.end (session)

Expiring.deallocate (session)

**system sync** expire (session: Session)

Expiring.expire (session)

Sessioning.end (session)

**concept** ExpiringAuthenticationSessions

← slow down, start with one flat collection, not a hierarchy

**include** Authenticating, Sessioning [Authenticating.User], Expiring [Sessioning.Session]

**sync** register (username, password: String, **out** user: User)

Authenticating.register (username, password, user)

**sync** login (username, password: String, **out** user: User, **out** session: Session)

Authenticating.authenticate (username, password, user)

Sessioning.start (user, session)

Expiring.allocate (session, 300)

**sync** authenticate (session: Session, **out** user: User)

Sessioning.getUser (session, user)

**sync** logout (session: Session)

Sessioning.end (session)

Expiring.deallocate (session)

**system sync** expire (session: Session)

Expiring.expire (session)

Sessioning.end (session)



# Keeping it simple

## *part I: concepts*

Recognize existing patterns and factor them out

Converge on concepts where each fulfills exactly 1 purpose: not 2, not ½

Reuse existing knowledge *-and-* consider broader design implications

## *part II: synchronizations*

Atomic (like individual concept actions): all or nothing

Not the place for complexity

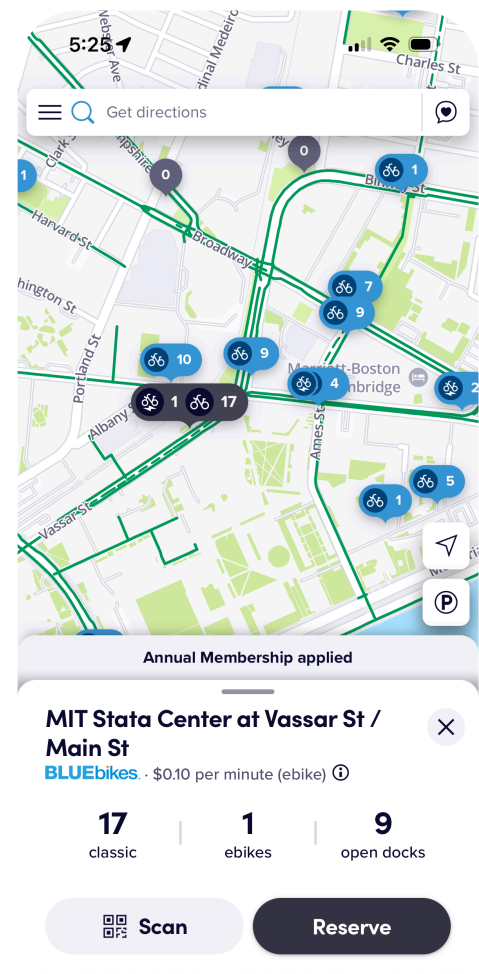
Simple concepts *plus* simple synchronizations *can equal* interesting novel behavior

# One last example

## 🚲 Bluebikes

Ebikes can be reserved for for up to 10 minutes,  
at the same price per minute as riding

**concept** Reserving [Resource]



# Today

**Concepts** as state machines with relational state

For structuring functionality: ♀ Semantic Ⓢ Purposive ⚙ Modular

**Dependencies** and **subsets**

Extrinsic dependency graph shows us coherent subsets of an application

**Patterns**

Identifying and factoring out reusable concepts

Composition by **synchronization**

Behavior of individual concepts is preserved

# Looking ahead

Concept design moves

Designing data and services